

Article

# A Combined Pyro- and Hydrometallurgical Approach to Recycle Pyrolyzed Lithium-Ion Battery Black Mass Part 2: Lithium Recovery from Li Enriched Slag—Thermodynamic Study, Kinetic Study, and Dry Digestion



- <sup>1</sup> Institute of Recycling Technologies, Faculty of Materials, Metallurgy and Recycling, Technical University of Košice, Letna 9, 04200 Košice, Slovakia; dusan.orac@tuke.sk (D.O.); andrea.miskufova@tuke.sk (A.M.); tomas.havlik@tuke.sk (T.H.)
- <sup>2</sup> IME Process Metallurgy and Metal Recycling, Institute of RWTH Aachen University, Intzestraße 3, 52056 Aachen, Germany; cvonderstein@ime-aachen.de (C.V.); cdertmann@ime-aachen.de (C.D.); msommerfeld@ime-aachen.de (M.S.); bfriedrich@ime-aachen.de (B.F.)
- \* Correspondence: jakub.klimko@tuke.sk; Tel.: +421-905-507-327

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Abstract: Due to the increasing demand for battery raw materials, such as cobalt, nickel, manganese, and lithium, the extraction of these metals, not only from primary, but also from secondary sources, is becoming increasingly important. Spent lithium-ion batteries (LIBs) represent a potential source of raw materials. One possible approach for an optimized recovery of valuable metals from spent LIBs is a combined pyro- and hydrometallurgical process. The generation of mixed cobalt, nickel, and copper alloy and lithium slag as intermediate products in an electric arc furnace is investigated in part 1. Hydrometallurgical recovery of lithium from the Li slag is investigated in part 2 of this article. Kinetic study has shown that the leaching of slag in  $H_2SO_4$  takes place according to the 3-dimensional diffusion model and the activation energy is 22–24 kJ/mol. Leaching of the silicon from slag is causing formation of gels, which complicates filtration and further recovery of lithium from solutions. The thermodynamic study presented in the work describes the reasons for the formation of gels and the possibilities of their prevention by  $SiO_2$  precipitation. Based on these findings, the Li slag was treated by the dry digestion (DD) method followed by dissolution in water. The silicon leaching efficiency was significantly reduced from 50% in the direct leaching experiment to 5% in the DD experiment followed by dissolution, while the high leaching efficiency of lithium was maintained. The study takes into account the preparation of solutions for the future trouble-free acquisition of marketable products from solutions.

**Keywords:** lithium-ion battery; recycling; lithium; slag; hydrometallurgy; leaching; dry digestion; critical raw materials

# 1. Introduction

Lithium-ion batteries (LIBs) are currently considered as one of the most important energy storage systems, which is reflected in a wide range of applications, especially for portable devices [1–7]. Due to the extensive electrification expected in the field of electromobility, batteries will have another key role in the future ensuring the transition towards a climate-neutral economy [8]. In addition to the implementation of electromobility and their widespread use for portable applications,



lithium-ion batteries are also indispensable as intermediate storage for the stabilization of decentralized power systems [2–5,9,10]. Compared to other battery types, LIBs have advantageous technical properties that substantiate their dominance as energy storage systems, including, e.g., high energy density and low self-discharge [10,11]. As a result of increasing applications of lithium-ion batteries, a significantly higher demand for battery containing critical or strategic raw materials, such as cobalt, lithium, and nickel, is to be expected. Those crucial metals are only available in limited quantities and are currently obtained mainly from primary sources [2]. Recycling is an essential aspect of closing the entire substance cycle of LIBs and securing the supply of raw materials for new battery production. To meet the increasing demand for strategic metals, the development of a raw material recycling economy, in addition to the expansion of mining capacities, is therefore unavoidable [5].

In the European Union, Directive 2006/66/EC applies to the recycling of LIBs. This directive requires the recycling of fifty percent of the average weight of used batteries, including spent LIBs [12]. The extensive recycling of battery components that exceed the recycling quota of fifty percent is of central importance to ensure the supply of materials for the new battery production and consequently the transition to a climate-neutral economy. This also requires consideration of battery components such as lithium.

In 2020, lithium was newly included among the European Union (EU)'s critical raw materials, which made its recovery, especially in the battery systems, where lithium is an indispensable cathode component, essential [13]. In the field of battery recycling, research projects have been carried out for several years dealing with both single and combined mechanical, pyrometallurgical, and hydrometallurgical processes as well as pyrolysis to recover battery components [5,10,11,14–24]. However, the focus is mainly set on more valuable metals, which is the reason why lithium as a component has not been sufficiently considered [25]. Overall, the recovery of lithium from active electrode mass has not been solved satisfactorily since the recovery is made more difficult by the ignoble character of the spent LIBs. Only about 20 tons of lithium were reported in 2016 as secondary raw material on a global scale [13,26]. In the EU, 4 tons were produced from secondary raw materials, representing an end-of-life recycling-input rate of less than 1% [13,27]. In pyrometallurgical processes, lithium is converted into slag, which is either used as construction material, undergoes further hydrometallurgical treatment, or can be sold, e.g., for the cement industry [28]. Hydrometallurgical processes allow lithium to be recovered from black mass, for example as lithium carbonate [29].

Within the scope of this work, a combined pyro- and hydrometallurgical process was designed, which enables a complete recovery of the valuable elements present in the active mass of spent LIBs. In a first process step presented in part 1 of this article, the melting of pellets with  $SiO_2$  and CuO in the electric arc furnace was realized, where metal alloy and Li slag were produced. Production of the slag enabled the recovery of lithium, while more valuable components, such as cobalt, copper, and nickel, were enriched in a metal alloy [30].

In part 2 of this article, the optimal leaching conditions of Li slag in sulfuric acid were studied. The design of the leaching step was adapted for the subsequent trouble-free recovery of lithium from the obtained solution. The main complication of leaching of materials with a higher silicon content is the formation of silicon gels [31–35]. In addition to the thermodynamic and kinetic study of the slag leaching process, the work also describes the conditions of gel formation and proposes the leaching method, in which the formation of gels does not occur.

#### 2. Materials and Methods

#### 2.1. Sample Preparation and Characterization

Pyrolyzed lithium-ion (Li-ion) battery black mass used for this study was generated by Accurec Recycling GmbH and further processed in the Electric Arc furnace (EAF) at the IME Process Metallurgy and Metal Recycling, Institute of RWTH Aachen University, Germany with the SiO<sub>2</sub> used as flux and CuO used to react with excess carbon from pyrolyzed battery black mass. A detailed description of the

trials is presented in part 1 [30]. The chemical composition of the input materials, intermediates, and solutions obtained after hydrometallurgical treatment was analyzed by atomic absorption spectrometry (AAS) using spectrAA20+ spectrometer (Varian, Autralia). The phase composition of materials was analyzed by X-ray diffraction phase analysis (XRD) using Philips X'Pert Pro Co-K $\alpha$  (PANanalytical, Almelo, Netherlands) and identified by PANanalytical HighScore Plus software version 3.0.

Generated Li slag showed in Figure 1 was crushed in a jaw crusher, ground in a mechanical mortar, subjected to a two-stage manual magnetic separation using a ferrite magnet, and subjected to sieving. Magnetic separation aimed to reduce the content of metallic impurities such as cobalt, copper, nickel, and iron in the Li slag. The first stage of magnetic separation was performed after crushing (Figure 2a) and the second after grinding (Figure 2b). Particle size of +0 -0.5 mm was used in this study. The chemical composition of Li slag and slag after mechanical pre-treatment is shown in Table 1.



**Figure 1.** Li slag from EAF: (**a**) before mechanical pretreatment, (**b**) after mechanical pre-treatment consisting of crushing, grounding, magnetic separation, and sieving.



Figure 2. Metal phases obtained by magnetic separation: (a) after crushing (b) after grounding.

Table 1. Chemical composition of the slag before and after magnetic separation.

Sample	Li	Со	Cu	Al	Fe	Si	Ca	Ni	Mn
Li slag	6.80	1.17	1.53	16.52	0.51	48.62	1.16	0.15	0.65
De-metalized Li slag	6.96	0.00	0.11	16.40	0.20	51.10	1.26	0.01	0.88

The price and estimated value of Li slag elements in their marketable products are shown in Table 2. The theoretical values of the elements were calculated from the content of the element in Li slag and from the content of the element in the marketable product and their price. The most valuable element for its recovery from used Li slag is lithium marketed as  $Li_2CO_3$ . From one metric ton of Li slag, it is theoretically possible to obtain  $Li_2CO_3$  with an estimated value of US \$2500.27. The second

most valuable element in the slag is aluminum with a theoretical value of US \$367.99 per metric ton of Li slag. In addition to Li and Al, the study is focused on the leaching rates of silicon due to its high content in the Li slag and potential silica gel formations. Other elements are considered as impurities due to their low content.

Elements	Content	Marketable Products	Price of Marketable Products (US \$/t)	Estimated Value of Marketable Products in 1 t of Li Slag (US \$)
Li	6.96%	Li <sub>2</sub> CO <sub>3 (Li = 18.79%)</sub>	6750	2500.27
Al	16.40%	Âl	2243.86	367.99
Si	51.10%	SiO <sub>2 (Si= 46.74%)</sub>	100-120	109–131
Mn	0.88%	Mn	1525.83	13.43
Cu	0.11%	Cu	7703.93	8.47
Ca	1.26%	CaO (Ca= 71.47%)	80	1.41-1.76
Ni	0.01%	Ni	17,935.94	1.79
Fe	0.20%	Fe	285	0.57
Со	0.00%	Со	32,985	-

Table 2. Estimated value of the elements in the Li slag, data from [36,37].

XRD analysis of de-metalized Li slag is shown in Figure 3. The analysis shows that lithium is present in the slag as LiAlSiO<sub>4</sub> and Li<sub>2</sub>SiO<sub>3</sub>. Other phases containing SiO<sub>2</sub> were not identified in XRD diffraction pattern, which implies that excessive amount of SiO<sub>2</sub> in the slag is present in amorphous form SiO<sub>2 (am)</sub>.



Figure 3. XRD analysis of the Li slag.

# 2.2. Thermodynamic Study of Lithium Slag Leaching

A thermodynamic analysis was performed using HSC software (Outotec, Espoo, Finland) [38]. Table 3 shows potential reactions of identified lithium phases with sulfuric acid and their  $\Delta G^{\circ}$  values at 20 °C and 80 °C. A negative value of standard Gibbs energy indicates that these reactions are spontaneous and thermodynamically feasible.

Figures 4–6 show E-pH diagram for Li-Al-S-Si-H<sub>2</sub>O, Al-Li-S-Si-H<sub>2</sub>O, and Si-Al-Li-S-H<sub>2</sub>O systems respectively. Thermodynamic study confirmed the presence of lithium as Li<sup>+</sup> ion in acidic leaching system at both selected temperatures. At pH above 13 and at 80 °C LiOH\*H<sub>2</sub>O might precipitate. Aluminum and silicon are also thermodynamically stable in ionic form under pH five. At pH above five at 20 °C and pH above four at 80 °C Al<sub>2</sub>O<sub>3</sub>\*SiO<sub>2</sub> precipitates. Reactions (1), (2), and (3) from Table 3 indicated three possible silicon phases (SiO<sub>2</sub>, H<sub>2</sub>SiO<sub>3</sub>, and H<sub>4</sub>SiO<sub>4</sub>) present in the leaching system (formed by means of reaction of LiAlSiO<sub>4</sub> with H<sub>2</sub>SO<sub>4</sub>). According to the Eh-pH diagram, the most thermodynamically stable is H<sub>4</sub>SiO<sub>4</sub>.

Equation	Reaction	$\Delta G^_{293.15}$ [kJ]	$\Delta G^{\circ}_{353.15}$ [kJ]
(1)	$2\text{LiAlSiO}_4 + 4\text{H}_2\text{SO}_{4(1)} = \text{Li}_2\text{SO}_{4(ia)} + \text{Al}_2(\text{SO}_4)_{3(ia)} + 2\text{H}_4\text{SiO}_{4(a)}$	-274.727	-274.783
(2)	$2\text{LiAlSiO}_4 + 4\text{H}_2\text{SO}_{4(1)} = \text{Li}_2\text{SO}_{4(ia)} + \text{Al}_2(\text{SO}_4)_{3(ia)} + 2\text{H}_2\text{SiO}_{3(a)} + 2\text{H}_2\text{O}$	-300.098	-272.695
(3)	$2\text{LiAlSiO}_4 + 4\text{H}_2\text{SO}_{4(l)} = \text{Li}_2\text{SO}_{4(ia)} + \text{Al}_2(\text{SO}_4)_{3(ia)} + 2\text{SiO}_2 + 4\text{H}_2\text{O}_2$	-302.950	-303.060
(4)	$2LiAlSi_2O_6 + 4H_2SO_{4(1)} + 4H_2O = Li_2SO_{4(ia)} + Al_2(SO_4)_{3(ia)} + 4H_4SiO_{4(a)}$	-216.581	-218.504
(5)	$Li_2SiO_3 + H_2SO_{4(l)} = Li_2SO_{4(ia)} + H_2SiO_{3(a)}$	-151.744	-141.622
(6)	$Li_2SiO_3 + H_2SO_{4(1)} + H_2O_{(1)} = Li_2SO_{4(ia)} + H_4SiO_{4(a)}$	-152.092	-142.666

**Table 3.** Potential reactions of slag phases and  $\Delta G^{\circ}$  values in the H<sub>2</sub>SO<sub>4</sub> leaching system, data from [38].



**Figure 4.** Eh-pH diagram for Li-Al-S-Si-H<sub>2</sub>O system: (a) 20 °C, (b) 80 °C. Molarity: (Li) = 1 M, (Al) = 0.5 M, (S) = 0.5 M and (Si) = 0.05 M.



**Figure 5.** Eh-pH diagram for Al-Li-S-Si-H<sub>2</sub>O system: (a) 20 °C; (b) 80 °C. Molarity: (Li) = 1 M, (Al) = 0.5 M, (S) = 0.5 M and (Si) = 0.05 M.



Figure 6. Cont.



**Figure 6.** Eh-pH diagram for Si-Al-Li-S-H<sub>2</sub>O system: (a) 20 °C, (b) 80 °C. Molarity: (Li) = 1 M, (Al) = 0.5 M, (S) = 0.5 M and (Si) = 0.05 M.

# 2.3. Methodology of Direct Li-Slag Leaching

The direct leaching experiments were carried out in an 800 mL glass reactor placed in a thermostatically controlled water bath. The experiments were performed at temperatures from 20 °C, 40 °C, 60 °C, and 80 °C using constant 400 rpm stirring speed. The aqueous solution of sulfuric acid at concentrations of 0.5 M and 1 M were used as leaching reagents. The pH of the solutions was measured with pH-meter (Inolab, WTW 3710, Germany). The volume of the leaching reagent was 500 mL. Ten grams of de-metalized Li slag samples were used for the experiments, which represents liquid to solid ratio L:S = 50. Solid Li slag samples for the experiments were obtained by manual quartering. The total duration of the experiment was 30 min with sampling time after 2, 5, 10, 15, 30 min.

# 2.4. Methodology of Dry Digestion Followed by Dissolution in Water

In addition to direct slag leaching, dry digestion (DD) experiments were performed to minimize gel formation. These experiments were carried out in 800 mL glass reactors. The experiments consisted of mixing a Li slag samples with concentrated (17.9 M) sulfuric acid and deionized water. The duration of the DD experiments was set to 60 min, after which the resulting dried mixture was dissolved in additional 500 mL of deionized water. The first series of experiments consisted of finding the optimal ratio of sample, acid, and water in DD step. The weight of Li slag samples was 10 g. The volumes of sulfuric acid used in this set of experiments was 5, 10, and 15 mL and volume of deionized water was 4, 12, and 24 mL. The experiments were also performed without the addition of water. In the second series of experiments, the constant ratio of Li slag sample, acid and water in DD step and volume of water in water dissolution step was maintained, while the total amount of the dried mixture was increased in the dissolution step. The prepared mixtures consisted of slag [g], acid [mL] and water [mL] in the ratios 10:10:24, 25:25:60, 50:50:120, and 100:100:240.

# 3. Results and Discussion

#### 3.1. Direct Li Slag Leaching and Kinetic Study

The first hydrometallurgical experiment was direct leaching of Li slag in 500 mL of 0.5 M and 1 M  $H_2SO_4$  solution at 20 °C, 40 °C, 60 °C, and 80 °C for 30 min. Figures 7–9 show the dependence of leaching efficiency over time at different temperatures and acid concentrations for lithium, aluminum, and silicon, respectively.

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Figure 7. Lithium leaching efficiency at 20–80 °C in 0.5 M (a) and 1 M (b) H<sub>2</sub>SO<sub>4</sub>.

Previous analysis of chemical composition shows that the most valuable element for the recovery from Li slag is lithium itself. High lithium leaching efficiencies close to 100% were achieved after 30 min of leaching at 20 °C using both  $H_2SO_4$  concentrations. As the leaching temperature increases, the time required to leach lithium gradually decreases to 15 min at 40 °C and 60 °C and to five minutes at 80 °C. An increase in Li leaching efficiency can be observed in the first minutes of the experiments when leaching results of 0.5 M and 1 M  $H_2SO_4$  are compared. In addition to lithium, the leaching efficiencies of aluminum and silicon were also studied, as these three elements are present in the LiAlSiO<sub>4</sub> phase and their content in the slag is high. From the efficiency of aluminum leaching, it is possible to observe a slight effect of increasing the concentration of sulfuric acid, especially at lower temperatures, but

more significant is the effect of temperature increase. Silicon is leached with an efficiency of up to 50%. This corresponds to stoichiometric calculations according to which approximately 50% of the silicon is present in the LiAlSiO<sub>4</sub> phase, which is leachable in  $H_2SO_4$ , and approximately 50% in the SiO<sub>2</sub> phase, which is not leachable in  $H_2SO_4$ . Thermodynamic study confirmed that leaching takes place according to Equations (1) and (6), in which orthosilicic acid ( $H_4SiO_4$ ) is formed. A lower Si leaching efficiency was achieved at 20 °C. The highest Si leaching efficiencies were achieved at temperatures of 40 and 60 °C. As the leaching temperature is increased to 80 °C, a decrease in Si leaching efficiency was observed, which might be related to the fact that in addition to reaction (1), reaction (3) also takes place, in which solid SiO<sub>2</sub> and  $H_2O$  are formed instead of  $H_4SiO_4$ .



Figure 8. Aluminum leaching efficiency at 20–80 °C in 0.5 M (a) and 1 M (b) H<sub>2</sub>SO<sub>4</sub>.

The apparent activation energy  $E_a$  of lithium was determined from measured experiments. The first step was the calculation of lithium conversion degree  $\alpha$  for 0.5 and 1 M H<sub>2</sub>SO<sub>4</sub>, according to Equation (7):

$$\alpha_{(\mathrm{Li})} = m_0 - m/m_0 \tag{7}$$

where  $m_0$  is the amount of Li in the solid sample in time t = 0 and m is the calculated amount of lithium in the solution at the specific time of the leaching. Different kinetic models were applied to evaluate the linear relationship between model function  $f(\alpha)$  over time in the first 15 min of the leaching. The model is confirmed if a linear relationship between  $f(\alpha)$  and t with a high coefficient of determination ( $R^2$ ) is found.



Figure 9. Silicon leaching efficiency at 20–80 °C in 0.5 M (a) and 1 M (b) H<sub>2</sub>SO<sub>4</sub>.

Table 4 shows the applied kinetic models [39] and their calculated values of  $R^2$  from the 0.5 M H<sub>2</sub>SO<sub>4</sub> leaching in the time interval from 0 to 15 min. Low  $R^2$  values of the leaching at 80 °C indicated that none of these models could be applied to linearize the dissolution rate over time. This was caused by high dissolution rates in the first two minutes of the leaching. Therefore, only data obtained by

leaching at 20 °C, 40 °C, and 60 °C, showed in Figure 10 were used for the  $E_a$  calculations. The  $R^2$  values given in Table 4 confirmed the three-dimensional diffusion model. The time dependence of the function of the selected diffusion model and the value of  $R^2$  are shown in Figure 11. Apparent rate constants were extracted from the slopes of the selected model function at different temperatures and their natural logarithm values were plotted against reciprocal temperature (Figure 12). Apparent activation energy was calculated from the slope according to the Arrhenius Equation (8) in the form:

$$ln\frac{k_2}{k_1} = \frac{-E_a}{R} \left( \frac{1}{T_2} - \frac{1}{T_1} \right) \tag{8}$$

**Table 4.** Kinetics models and linear relationship of model functions over time represented by coefficient of determination ( $R^2$ ) value, kinetic models from [39].

Kinetic Models	Linear Relationship (R <sup>2</sup> )			
1. Linear α-t dependency	20 °C	40 °C	60 °C	80 °C
No kinetic model applied : $f(\alpha) = \alpha = \frac{\% Me}{100}$	0.80	0.59	0.45	0.37
2. Deceleratory $\alpha$ -t curves				
2.1. Based on geometrical models				
Contracting area (cylindrical symmetry) : $f(\alpha) = 1 - (1 - \alpha)^{\frac{1}{2}}$	0.90	0.77	0.63	0.47
Contracting volume (spherical symmetry) : $f(\alpha) = 1 - (1-R)^{\frac{1}{3}}$ <b>2.2. Based on diffusion mechanism</b>	0.93	0.83	0.72	0.53
1D diffusion : $f(\alpha) = \alpha^2$	0.93	0.78	0.56	0.40
2D diffusion : $f(\alpha) = (1 - \alpha) \cdot \ln(1 - \alpha) + \alpha$	0.97	0.89	0.69	0.46
3D diffusion (cylindrical) : $f(\alpha) = \left[1 - (1 - \alpha)^{\frac{1}{3}}\right]^2$	1.00	0.99	0.92	0.64
3D Ginstling–Brounstein diffusion (spherical) : $f(\alpha) = (1 - \frac{2\alpha}{3}) - (1 - \alpha)^{\frac{2}{3}}$	0.98	0.94	0.78	0.53
2.3. Based on order of reaction				
First order : $f(\alpha) = -\ln(1-\alpha)$	0.98	0.93	0.89	0.59
Second – order chemical reaction : $f(\alpha) = (1 - \alpha)^{-1}$	0.99	0.95	0.90	0.17
Third – order chemical reaction : $f(\alpha) = (1 - \alpha)^{-2}$	0.86	0.80	0.73	0.11
3. Acceleratory $\alpha$ –t curves				
Power law : $f(\alpha) = \alpha^{\frac{1}{n}} (n = 0.25)$	0.98	0.95	0.73	0.45
Power law : $f(\alpha) = \alpha^{\frac{1}{n}} (n = 0.5)$	0.93	0.78	0.56	0.40
Power law : $f(\alpha) = \alpha^{\frac{1}{n}} (n = 1)$	0.80	0.59	0.45	0.37
Power law : $f(\alpha) = \alpha^{\frac{1}{n}} (n = 2)$	0.62	0.47	0.40	0.36
Exponential law : $f(\alpha) = \ln \alpha$	0.13	0.12	0.15	0.14
4. Sigmoidal α-t curves				
Avrami–Erofeev nucleation and growth $(n = 2)$ : $f(\alpha) = [-\ln(1 - \alpha)]^{\frac{1}{2}}$	0.83	0.73	0.68	0.54
Avrami–Erofeev nucleation and growth $(n = 3)$ : $f(\alpha) = [-\ln(1 - \alpha)]^{\frac{1}{3}}$	0.71	0.61	0.58	0.49
Avrami–Erofeev nucleation and growth $(n = 4)$ : $f(\alpha) = [-\ln(1 - \alpha)]^{\frac{1}{4}}$	0.63	0.55	0.52	0.46
Prout–Tompkins : $f(\alpha) = ln \left[ \frac{R}{1-\alpha} \right]$	0.97	0.95	0.90	0.17



Figure 10. Input kinetic data of lithium leaching used for apparent activation energy calculations.



Figure 11. Kinetic data after linearization by cylindrical diffusion kinetic model.



**Figure 12.** Apparent activation energy determination from the slope of Arrhenius plot at two different molarities of H<sub>2</sub>SO<sub>4</sub>.

The same procedure for the apparent activation energy calculation was used for the data obtained from the Li slag leaching in  $1 \text{ M H}_2\text{SO}_4$ .

Activation energy values under 21 kJ/mol indicate that the rate-determining step of the reactions is diffusion, in the range of  $E_a$  values from 21 to 35 kJ/mol it is a mixed mechanism and activation energy values above 35 kJ/mol indicate that the rate-determining step is a chemical reaction [40]. Apparent activation energy of lithium leaching in 0.5 M H<sub>2</sub>SO<sub>4</sub> is 23.86 kJ/mol (R<sup>2</sup> = 0.978) and in 1 M H<sub>2</sub>SO<sub>4</sub> is 22.48 kJ/mol (R<sup>2</sup> = 0.991). Takáčová et al. [41] studied direct leaching of black mass fromLIBs, where lithium was present in LiCoO<sub>2</sub>. The apparent activation energy of lithium leaching from LCO black mass us in the interval from 16 to 19 kJ/mol. The comparison of apparent activation energies shows that, unlike black mass leaching, where the rate-determining step is diffusion only, in the case of Li slag leaching it is a mixed mechanism, where both diffusion and the rate of chemical reaction affect the overall course of the reaction. To maintain high leaching efficiencies of lithium from slag, it is necessary to leach at increased temperatures with sufficient stirring speed.

# 3.2. Investigation of the Silica Gel Formation

The results of Li slag leaching in  $H_2SO_4$  confirmed that achieving high lithium leaching efficiency is possible, but the problem of conventional direct leaching is the high silicon leaching efficiency,

which leads to the formation of gels. According to XRD phase analysis and stoichiometric calculations, 50% of the silicon in the Li slag is present in the Li<sub>2</sub>SiO<sub>3</sub> and LiAlSiO<sub>4</sub> phases and the remaining silicon, which was not detected by the XRD method, should be present in the amorphous SiO<sub>2</sub> phase. The solubility of silicon oxide SiO<sub>2 (cr)</sub> is 10 µg/mL and the solubility of amorphous SiO<sub>2 (am)</sub> ranges from 100 µg/mL to 120 µg/mL [42]. However, the concentration of Si in the solutions after direct leaching of the Li slag reaches up to 6000 µg/mL. The low solubility of SiO<sub>2</sub> and high silicon concentration in solutions close to 50% confirmed the theory that silicon is leached from LiAlSiO<sub>4</sub> and Li<sub>2</sub>SiO<sub>3</sub> phases only according to Equations (1) and (6) with high relative efficiency. Products of these reactions are lithium sulfates, aluminum sulfates, and orthosilicic acid (H<sub>4</sub>SiO<sub>4</sub>). A higher concentration of orthosilicic acid leads to oligomerization, according to Equations (9)–(11) [43].

$$H_4SiO_{4(a)} + H_4SiO_{4(a)} = H_6Si_2O_{7(a)} + H_2O_{(l)}$$
(9)

$$H_4SiO_{4(a)} + H_6Si_2O_{7(a)} = H_8Si_3O_{10(a)} + H_2O_{(l)}$$
(10)

$$H_4 SiO_{4(a)} + H_8 Si_3 O_{10(a)} = H_{10} Si_4 O_{12(a)} + H_2 O_{(l)}$$
(11)

Chemically bound water is gradually separated from orthosilicic acid by oligomerization, causing formation of gels. Under some leaching conditions on a small laboratory scale, it is possible to filter the solution and leaching residue from each other before the gels are formed. The gel-like solution formed after filtration is shown in Figure 13a. Gel formation can significantly complicate the whole process of recycling Li slag on an industrial scale, especially if a continuous leaching process is used or if the gels are formed in a batch process before the solid residues are filtered off. The separation of solid residues entrapped in the gels (Figure 13b) is impossible without additional technological operations, which can affect economic and environmental aspects of the whole recycling process.



**Figure 13.** Gel aggregates present in the solutions after direct leaching of the Li slag: (**a**) formed after filtration of the leaching residue; (**b**) formed before filtration with entrapped leaching residues particles.

The presence of gel-like aggregates in solutions obtained by direct Li slag leaching with high silicon concentration (6000  $\mu$ g/mL) and low pH (~0) was observed immediately after the leaching was completed. According to Icopini et al. [43], gel formation occurrence is dependent on pH and on the concentration of silicon in the solutions. Therefore, the gel formation was monitored for two months after the leaching experiments. With decreasing silicon concentration in the solution and increasing pH at the end of the experiments, gel formation slowed to a duration of four weeks. No gel presence was observed in the solutions with a Si concentration lower than 1000  $\mu$ g/mL or solutions with a pH higher than 0.75. The addition of concentrated H<sub>2</sub>SO<sub>4</sub> to a solution with a silicon concentration above the threshold limit of 1000  $\mu$ g/mL resulted in the rapid gel formation (Figure 14). pH adjustment of

gel-like solutions to values above the limit of 0.75 does not cause fast gels dissolution, which means that the mechanism of gel formation is not reversible and it is not possible to eliminate the problem of gelation by this method.



Figure 14. Solution before (top) and 30 min after addition of 17.9 M H<sub>2</sub>SO<sub>4</sub> (bottom).

# 3.3. Suppression of Gel Formation—Thermodynamic Analysis

High concentration of silicon in the solutions confirmed, that leaching of the Li slag takes place according to Equations (1) and (6), where  $H_4SiO_4$  is produced. The calculated apparent activation energy of this ongoing reaction is 22–24 kJ/mol. In addition to this reaction, it should be also possible to precipitate  $SiO_2$  out of solution directly, or precipitate  $SiO_2$  from already produced  $H_4SiO_4$ . Precipitation of  $SiO_2$  could be a solution to gel-free leaching. Thermodynamic values for direct precipitation of  $SiO_2$  are listed in Table 3. Reactions of  $SiO_2$  precipitation from  $H_4SiO_4$  and their  $\Delta H^\circ$  and  $\Delta G^\circ$  values are shown in Table 5.

Table 5. Thermodynamic data of reactions in which SiO<sub>2</sub> precipitates from H<sub>4</sub>SiO<sub>4</sub>.

Equation	Reaction	$\Delta \mathrm{H^{\circ}}_{293.15}$ (kJ)	$\Delta \mathrm{H^{\circ}_{353.15}}$ (kJ)	$\Delta G^_{293.15}$ (kJ)	$\Delta G^_{353.15}$ (kJ)
(12)	$H_4SiO_{4(a)} = SiO_2 + 2H_2O_{(l)}$	-13.642	-14.203	-14.112	-14.139
(13)	$H_4SiO_{4(a)} = SiO_2 + 2H_2O_{(g)}$	74.782	69.217	4.199	-9.698

Figure 15 shows a potential energy diagram of  $LiAlSiO_4$  leaching according to the Equations (1), (3), (12) and (13). The diagram shows only the reaction path of the  $LiAlSiO_4$  with the focus on silicon phase, but a similar principle can be applied for leaching of the  $Li_2SiO_3$  phase as well.

Thermodynamic analysis shows, that reaction (3) is thermodynamically favorable, but high Si concentration in the solution confirms that the leaching takes place according to reaction (1). After leaching, it was not possible to observe a decrease in the concentration of silicon in the solution, from which it can be concluded that reactions (12) and (13) do not proceed despite the fact that their  $\Delta G^{\circ}$  values are negative. One of the possible reasons why SiO<sub>2</sub> does not precipitate out from solutions may be the fact, that  $E_a$  of reactions (3), (12) and (13) were not reached. Specific values of these activation energies cannot be determined experimentally due to the fact that these reactions do not take place during direct leaching. Therefore, these values are marked in Figure 15 as unknown with dashed lines. Part of the energy generated by the exothermic direct leaching, according to reaction (1), could be sufficient to overcome the activation energy of reaction (12) or even (13), but this direct leaching takes place at high S:L ratios equal 1:50 and the heat generated is thus consumed for heating of a large volume of leach solution. More energy must be supplied to the leaching system in next experiments to overcome  $E_a$  of the reactions in which SiO<sub>2</sub> precipitates out of the solution.



Figure 15. Potential energy diagram of LiAlSiO<sub>4</sub> phase leaching with the focus on the silicon phases.

# 3.4. Dry Digestion—the Optimal Ratio of Li Slag Sample, Acid, and Water

Thermodynamic study from chapter 3.3 confirmed that one of the solutions to prevent the formation of gels is to precipitate  $SiO_2$ . To achieve precipitation, it is necessary to overcome the unknown activation energies of reactions (3), (12), and (13), and therefore it is necessary to increase the energy to the system. It is also appropriate to reduce the volume of the leaching solution to prevent energy consumption for its heating. It was proposed to treat the slag by the dry digestion (DD) method in the experiments, which has been applied in the treatment of eudialyte concentrates [31–35] and iron-depleted red mud [35].

The DD principle consists in mixing a slag sample with concentrated acid and water and this method aimed to verify whether the heat generated from the exothermic acid dilution and the exothermic slag decomposition reaction may be sufficient to overcome the unknown  $E_a$  needed to precipitate SiO<sub>2</sub> from solution according to theory showed in Figure 15. Small addition of water (4, 12, 24 mL) to slag and acid resulted in a strong exothermic reaction. Under these conditions, it is assumed that insoluble SiO<sub>2</sub> and soluble lithium and aluminum sulfates were formed according to reaction (3).

One-hour DD experiment was thus followed by dissolution of obtained solid mixtures in 500 mL of deionized water. Figure 16 shows lithium-leaching efficiencies. The addition of 5 mL of  $H_2SO_4$  was not sufficient to achieve high Li leaching efficiency, but 10 mL  $H_2SO_4$  resulted in efficiency close to 100%. No further increase in  $H_2SO_4$  addition was necessary. Further increase of  $H_2SO_4$  to 15 mL was neither necessary nor appropriate, due to the fact that lowering the pH may promote gel formation. The use of 10 mL  $H_2SO_4$  seems to be suitable also in the case of aluminum leaching showed in Figure 17.

The silicon leaching efficiencies up to 50% were achieved in previous direct Li slag leaching (Figure 9), which corresponds to complete dissolution of silicon from the LiAlSiO<sub>4</sub> and Li<sub>2</sub>SiO<sub>3</sub> phases. Figure 18 shows the silicon leaching efficiency in DD experiments followed by water dissolution. To maintain the high leaching efficiency of lithium, it is advisable to use 10 mL H<sub>2</sub>SO<sub>4</sub>. Reduction in the leaching efficiency of silicon from 50% to 30% was achieved, when 10 mL of H<sub>2</sub>SO<sub>4</sub> without the addition of water was used. Heat was generated only from the decomposition of the LiAlSiO<sub>4</sub> and Li<sub>2</sub>SiO<sub>3</sub> phases in these experiments, which was not sufficient to precipitate SiO<sub>2</sub> in significant amounts. When water was added during DD, which caused additional production of heat by exothermic dilution of sulfuric acid, a further reduction of silicon leaching efficiency to 15% using 4 mL of H<sub>2</sub>O, 6% using 12 mL of H<sub>2</sub>O and 4% using 24 mL of H<sub>2</sub>O was achieved. From the leaching efficiency could be possible by shortening of dissolution time of DD mixture. Maximum lithium leaching efficiency was achieved using 10 mL of H<sub>2</sub>SO<sub>4</sub> and 24 mL of H<sub>2</sub>O (ratio 10:10:24) after 10 min of dissolution. Figure 18d shows Si leaching efficiency in the 10th minute of dissolution. In a 10 min experiment at a ratio of

10:10:24, it was possible to achieve 99.12% (1492  $\mu$ g/mL) and 92.36% (2792  $\mu$ g/mL) dissolution of lithium and aluminum, respectively, and reduce the dissolution efficiency of silicon to 1.25% (134  $\mu$ g/mL).



**Figure 16.** Lithium leaching efficiency in  $H_2O$  using (a) 5 mL of  $H_2SO_4$ , (b) 10 mL of  $H_2SO_4$ , (c) 15 mL of  $H_2SO_4$ .



**Figure 17.** Aluminum leaching efficiency in  $H_2O$  using (a) 5 mL of  $H_2SO_4$ , (b) 10 mL of  $H_2SO_4$ , (c) 15 mL of  $H_2SO_4$ .



**Figure 18.** Silicon leaching efficiency in  $H_2O$  using (**a**) 5 mL of  $H_2SO_4$ , (**b**) 10 mL of  $H_2SO_4$ , (**c**) 15 mL of  $H_2SO_4$ , (**d**) silicon dissolution in 10th min of neutral leaching.

The new precipitated phase was observed at the bottom of the beaker after dissolution of the DD mixture (Figure 19). The precipitated phase was separated from the leaching residue and the samples were subjected to XRD analysis. Figure 20 shows an XRD analysis comparison of the input Li slag sample (black), the leaching residue obtained by dissolution of DD mixture at ratio 10:10:24 (red) and the precipitated phase (blue). The significant peak intensity decrease of the LiAlSiO<sub>4</sub> and Li<sub>2</sub>SiO<sub>3</sub> phases in the XRD patterns indicates that the leaching residue and precipitate consists of unknown amorphous phases. Taking into account the theoretical analysis, the XRD, and the AAS analysis, it is concluded that the precipitated phase is amorphous SiO<sub>2</sub>.

The results confirmed that energy generated in DD process was sufficient to overcome the activation energy of reactions (3), (6), (12), and (13). This resulted in the precipitation of insoluble SiO<sub>2</sub> from the mixture in DD step. Precipitation reduced the concentration of silicon in the solution below 1000  $\mu$ g/mL and pH of the obtained solution was 1.322, which confirmed that both gel prevention conditions were met. Another advantage of dry digestion over direct leaching is the increase in the weight of the solid residue from 20.01% to 51.05%. DD method followed by dissolution makes the separation of the leaching residue from the solution possible. Residues can be thus landfilled or used in the construction industry [28].

## 3.5. Dry Digestion—Increase of Dry Digested Mixture Used per Constant Volume of Water in Dissolution Step

The optimal mixture ratio of 10:10:24 for DD was determined in chapter 3.4 The solution obtained by dissolution of dry digested mixture in 500 mL of deionized water contained 1492  $\mu$ g/mL of lithium (1.18 g of Li<sub>2</sub>SO<sub>4</sub>/100 mL). One suitable option for future lithium recovery from solutions is the precipitation of Li<sub>2</sub>CO<sub>3</sub>. Precipitation of lithium carbonate (Li<sub>2</sub>CO<sub>3</sub>) will occur only if the concentration of lithium in the solution exceeds 2498  $\mu$ g/mL (K<sub>sp Li<sub>2</sub>CO<sub>3</sub> = 1.33 g/100 mL; molar ratio of Li in Li<sub>2</sub>CO<sub>3</sub> is 18.79%), but current concentration is lower. Therefore, it is necessary to increase lithium concentration in solution significantly.</sub>





Figure 19. Leaching residue and precipitated phase after dry digestion followed by neutral leaching.

**Figure 20.** XRD analysis of input slag sample (black) leaching residue after leaching (red) and precipitated phase (blue).

Lithium concentration increase was experimentally verified in followed chapter by increasing the amount of dry digested mixture used in the next dissolution step. Mixtures of 10:10:24, 25:25:60, 50:50:120 and 100:100:240 were dissolved in constant 500 mL of water in the following experiments. The length of the experiments was extended to 4 h because silicon concentration was continuously

increasing throughout the first 60 min of leaching in the previous dissolution experiments (Figure 18). Figures 21 and 22 shows the leaching efficiency of lithium and aluminum, respectively.



Figure 21. Lithium concentration and leaching efficiency.



Figure 22. Aluminum concentration and leaching efficiency.

The results show that the concentration of lithium and aluminum increases with the increased amount of dry digested mixture, but the overall leaching efficiency of lithium and aluminum decreased from 99.98% and 97.46% in the trial 10:10:24 to 62.74% and 62.71% in the trial 100:100:240. However, such a significant reduction in efficiency should not occur due to the fact that the ratio Li slag:  $H_2SO_4$ :  $H_2O$  in DD step was maintained.

A possible reason for the reduction in leaching efficiency of lithium and aluminum could be in reaching of their maximum solubility. The maximum theoretical concentration of lithium in sulfuric acid is 43940 µg/mL ( $K_{sp Li_2SO_4} = 34.8 \text{ g/100 mL}$ ; molar ratio of Li in Li<sub>2</sub>SO<sub>4</sub> is 12.63%) and the maximum theoretical concentration of aluminum is 57409 µg/mL ( $K_{sp Al_2(SO_4)_3} = 36.4 \text{ g/100 mL}$ ; molar ratio Al in Al<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> is 15.77%). The maximum concentration of lithium in this solution will be affected by the presence of aluminum and vice versa, since both of these elements are bound to the same SO<sub>4</sub><sup>2-</sup> anions. However, even taking into account the mutually limited solubility, it can be stated that the maximum concentrations of lithium and aluminum were not exceeded in these experiments. Leaching residues obtained in the dissolution step were washed and analyzed by AAS for that reason. The results are shown in Table 6.

Sample	Leaching	I	.i	Al		
(Slag:H <sub>2</sub> SO <sub>4</sub> :H <sub>2</sub> O)	Residue Weight	Solid (AAS)	Solution (Calculated)	Solid (AAS)	Solution (Calculated)	
Input sample	100.00%	100.00%	-	100.00%	-	
10:10:24	54.16% (5.41 g)	0.07%	99.93%	0.36%	99.64%	
25:25:60	55.32% (13.83 g)	0.07%	99.93%	0.57%	99.43%	
50:50:120	45.03% (22.51 g)	0.34%	99.66%	1.59%	98.41%	
100:100:240	49.96% (49.96 g)	2.76%	97.24%	3.81%	96.19%	

**Table 6.** Solid sample atomic absorption spectrometry (AAS) analysis and calculated dissolution rate of lithium and aluminum.

The chemical analysis of leaching residues from experiments 10:10:24 and 25:25:60 confirmed the dissolution of lithium and aluminum above 99%. The leaching residue from the 50:50:120 experiment contained only 0.34% of Li and not 7.82%, as it was assumed according to Figure 21, where Li leaching efficiency was 92.17% only. The leaching residue from the 100:100:240 experiment also contained less lithium and aluminum than expected from the leaching results.

Due to the difference between the results of the solutions and the leaching residues, the experiments were repeated and the leaching residues were washed in a vacuum filtration funnel with a constant volume of 500 mL. Analysis of the washing solutions (Table 7) confirmed that the solution obtained by washing of solids from trial 50:50:120 contained 546  $\mu$ g/mL of lithium, which represented remaining 7.8% of the lithium. The 100:100:240 wash solution contained only 25.39 of 37.5% remaining lithium, suggesting that washing such a large amount of the mixture should be performed with a larger volume of washing solution. The washing solution results indicate that lithium and aluminum sulfates are probably adsorbed on the surface of solid insoluble residues. In the DD experiments, 50:50:120 and 100:100:240, the surface area of the insoluble residues is so large that there is a significant reduction in the leaching efficiencies of these elements.

Trial	L	Li		Al		Si	
(Slag:H <sub>2</sub> SO <sub>4</sub> :H <sub>2</sub> O)	(µg/mL)	(%)	(µg/mL)	(%)	(µg/mL)	(%)	
10:10:24	16.07	1.15%	136	4.70%	83	0.81%	
25:25:60	34.3	0.98%	553	7.65%	249	0.97%	
50:50:120	546	7.80%	1548	10.71%	289	0.57%	
100:100:240	3555	25.39%	6460	22.34%	311	0.30%	

Table 7. AAS analysis of the solutions obtained by washing of the leaching residues by 500 mL of H<sub>2</sub>O.

Figure 23 shows silicon concentration and leaching efficiency of experiments 10:10:24-100:100:240. The threshold value of the silicon concentration of  $1000 \ \mu\text{g/mL}$  was exceeded at about 60 min of the leaching in the trial 10:10:24. In trials, where a larger volume of the mixture was dissolved, the threshold concentration was exceeded in the first minutes of leaching.

The analysis in Chapter 3.2 shows, that gels are formed in solutions with higher silicon concentrations only if the pH is lower than 0.75. Table 8 shows pH values of the solutions at the beginning and after the end of leaching. Gel presence could be observed only in the solution obtained in trial 100:100:240.

Table 8. pH values of solutions at the beginning and after the end of DD mixture water leaching.

Slag:H <sub>2</sub> SO <sub>4</sub> :H <sub>2</sub> O	10:10:24	25:25:60	50:50:120	100:100:240
pH <sub>0</sub>	0.466	0.097	-0.159	-0.383
$pH_1$	1.322	1.033	0.752	0.494



Figure 23. Silicon concentration and leaching efficiency.

The results of this chapter confirm that 92.17% Li leaching efficiency (5825  $\mu$ g/mL) can be achieved by leaching a 50:50:120 mixture in the dissolving step and a suitable dissolution time is 1 h. The remaining 7.8% of Li can be recovered by quick washing of solids in a vacuum filtration funnel. To prevent lithium loses by washing of leaching residue, the reuse of wash water in dissolution step should be considered. No gel formation should be present under these conditions. Remaining washed solids (45 wt. % of input Li slag used in DD step) can thus be landfilled or used in various industries.

#### 3.6. Further Lithium Concentration Increase and Precipitation of Products (Theoretical Analysis)

The results show that by dry digestion in a ratio of 50:50:120 and by subsequent leaching in water, it is possible to obtain a solution with 5950  $\mu$ g/mL of Li and 9410  $\mu$ g/mL of Al. The pH of the solutions under these conditions should be above 0.75 and therefore no gelation should be present. Precipitation of lithium carbonate (Li<sub>2</sub>CO<sub>3</sub>) from obtained solution with the lithium concentration of 5950  $\mu$ g/mL should be possible, but the amount obtainable Li<sub>2</sub>CO<sub>3</sub> would be relatively small, since Li<sub>2</sub>CO<sub>3</sub> solubility is 1.33 g/100mL, which represent Li concentration of 2498 µg/mL. As much as 41.98% of Li (2498 µg/mL) would remain dissolved in the solution and only 58% (3452 µg/mL) would precipitate out of the solution. From the practical point of view, it is therefore appropriate to consider the multiple reuse of the solution for leaching of new 50:50:120 batches/mixtures. It should be possible to double ( $\pm 12,000 \ \mu g/mL$ ) or triple ( $\pm 18,000 \ \mu g/mL$ ) the amount of lithium in the solution. By treatment of this solution with tripled concentration of lithium ( $\pm$ 18,000 µg/mL) by carbonates, the amount of dissolved lithium remaining in the solution would be reduced from 41.98% to 13.88% and therefore 86.18% (15,502 µg/mL) of the lithium would be precipitated as Li<sub>2</sub>CO<sub>3</sub>. The maximum concentration of lithium based on  $Li_2SO_4$  solubility is 43,939.73 µg/mL, but the  $Li_2SO_4$  solubility will be affected by leaching of aluminum. Multiple reuse of the same solution would also lower the pH of the solution below the critical limit, causing gels to form.

For this reasons, it seems appropriate to adjust pH of the solution to pH 4–6, at which all the aluminum precipitates out of solution according to the fraction diagrams (Figure 24a), while lithium should stay in ionic form (Figure 24b).



Figure 24. Fraction diagrams of precipitation: (a) aluminum; (b) lithium.

After pH adjustment and filtration of aluminum precipitates, refined solution should be ready for next leaching of fresh DD 50:50:120 according to Figure 25.



Figure 25. Proposed approach for lithium slag recycling by dry digestion method.

# 4. Conclusions

Lithium slag recycling methods were investigated in this paper. Chemical analysis showed that the slag produced by smelting of lithium battery black mass in EAF with the addition of CuO and  $SiO_2$  is a valuable secondary source with a lithium content of 6.96%. The sample of Li slag suitable for leaching was prepared by crushing, grinding, and magnetic separation with the use of which the magnetic metals content was reduced to a minimum.

By direct leaching of the slag in 0.5 and 1 M  $H_2SO_4$ , it was possible to achieve a lithium recovery close to 100% after only five minutes of leaching at 80 °C and after 30 min of leaching at 20 °C. Kinetic study showed that the apparent activation energy for lithium leaching in 0.5 and 1 M  $H_2SO_4$  is 22.48 and 23.86 kJ/mol, respectively, and the process of Li leaching is described well by Jander kinetic model, which corresponds to 3-dimensional cylindrical diffusions mechanism as the rate-controlling step. The disadvantage of direct leaching of Li slag is the high leaching efficiency of silicon, which

causes the formation of gels. Gels complicate a chemical analysis of solutions, filtration, and further recovery of the products.

Therefore, the conditions for gel formation and the possibilities of gel formation preventions were investigated. The results of the observation show that gels are produced when the concentration of Si in the solution exceeds 1000  $\mu$ g/mL and at the same time the pH of the solution is lower than 0.75. Thermodynamic study has shown that leaching of Li phases of the slag should be also possible with the precipitation of solid SiO<sub>2</sub> instead of H<sub>4</sub>SiO<sub>4</sub> production followed by gelation.

The dry digestion method was investigated to prevent the formation of  $H_4SiO_4$ . By this method, it was possible to overcome the activation energy of reactions in which SiO<sub>2</sub> precipitates out of solution. Lithium and aluminum sulfates were also produced in this step. The optimal ratio of components in the dry digestion is 10 mL of concentrated  $H_2SO_4$  and 24 mL  $H_2O$  per 10 g of slag. Mixtures obtained by DD were leached in a water dissolution step, where Si was leached with a much lower rate compared to the dissolution of lithium and aluminum silicates. The leaching efficiency of silicon was reduced to 1.25% by dissolving a mixture of 10:10:24 in 500 mL of water, while maintaining high leaching yields of lithium and aluminum. The solution obtained under these conditions contains 1492 µg of lithium per ml. Further increase in the lithium concentration to 5950 µg/mL (92.12%) was achieved by dissolving a mixture of 50:50:120 in 500 mL of water. The study confirmed an important role of the washing of leaching residues after dry digestion and water leaching. Remaining 7.8% of lithium were recovered by washing in 500 mL of water. Wash water containing lithium can be then reused in the next batch of water dissolution of dry digested mixture.

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